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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith are being deposited with the United States Postal Service on this date shown below in an envelope as "Express Mail Post Office to Addressee" under the below indicated Mailing Label Number, addressed to: Box PCT, Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

Mailing Label No.: EF232848306US

Deposit Date: January 22, 2002
Shari Saus
Name: Shari Saus

ATTORNEY'S DOCKET NO. STOCP0122US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(DO/EO/US)**

In re national phase of:

Applicant(s):	Jan Kjellman et al.
International Application No.:	PCT/SE00/01522
International Filing Date:	July 28, 2000
Priority Date Claimed:	July 30, 1999, September 10, 1999, October 12, 1999
Title of Invention:	A LIGHT SOURCE, AND A FIELD EMISSION CATHODE

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED
OFFICE (DO/EO/US) CONCERNING ENTRY INTO U.S. NATIONAL
PHASE UNDER 35 U.S.C. 371**

Box PCT
Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information under 35 U.S.C. 371:

1. This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
2. The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees (37 CFR 1.492) as indicated below.

3. A copy of the International application (35 U.S.C. 371(c)(2)):
- a. ☒ is transmitted herewith
(International Publication No. WO 01/09914).
 - b. ☐ is not required, as the application was filed with the United States Receiving Office.
 - c. ☐ has been transmitted by the International Bureau. A copy of Form PCT/1B/308 is enclosed.
4. ☐ An accurate translation of the International application into the English language (35 U.S.C. 371(c)(2)) is transmitted herewith.
5. Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. 371(c)(3)):
- a. ☐ are transmitted herewith.
 - b. ☐ have been transmitted by the International Bureau.
6. ☐ An accurate translation of the amendments to the claims under PCT Article 19 (38 U.S.C. 371(c)(3)) is transmitted herewith.
7. A copy of the international preliminary examination report (PCT/IPEA/409)
- a. ☒ is transmitted herewith.
 - b. ☐ is not required as the United States Patent and Trademark Office was the IPEA.
8. Annex(es) to the international preliminary examination report
- a. ☒ is/are transmitted herewith.
 - b. ☐ is not required as the United States Patent and Trademark Office was the IPEA.
9. ☐ An accurate translation of the annexes to the international preliminary examination report is transmitted herewith.
10. ☐ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)) complying with 35 U.S.C. 115 is submitted herewith.

11. An International Search Report (PCT/ISA/210)
 - a. ☒ is transmitted herewith.
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was searched by the United States International Searching Authority.
12. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 is transmitted herewith, along with Form PTO-1449 and copies of citations listed.
13. ☐ An assignment document is transmitted herewith for recording, along with a separate cover sheet.
14. ☒ A preliminary amendment is enclosed.
15. ☐ A verified statement claiming small entity status is enclosed.
16. ☐ Other:

Transmittal Letter to United States Designated/Elected Office

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Basic National Fee					Fee
IPEA - US				\$710.00	
ISA - US				\$740.00	
PTO not ISA or IPEA				\$1,040.00	
Claims meet PCT Art. 33(1)-(4) - IPEA - US				\$100.00	
Filing with EPO or JPO search report				\$890.00	
Enter appropriate basic fee →					\$1,040.00
Claims*	Number filed		Number extra	Rate	
Total claims	53	-20	33	\$18.00	\$594.00
Independent claims	4	-3	1	\$84.00	\$84.00
Multiple dependent claims (if applicable)				\$280.00	
Total of above					\$1,718.00
Small entity statement enclosed, 1 if Yes, 0 if No →					\$0.00
Total national fee					\$1,718.00
Fee for recording enclosed assignment				\$40.00	
Total fees enclosed					\$1,718.00

*After any attached preliminary amendment reducing the number of claims and/or deleting multiple dependencies.

☒ [X] A check in the amount of \$ 1,718.00 to cover the above fees is enclosed.

☐ [] Please charge our Deposit Account No. 18-0988 in the amount of \$_____. A duplicate copy of this sheet is enclosed.

WARNING: TO AVOID ABANDONMENT OF THE APPLICATION THE BASIC NATIONAL FEE MUST BE PAID WITHIN THE 20/30 MONTH TIME LIMIT.

16. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to our Deposit Account No. 18-0988:

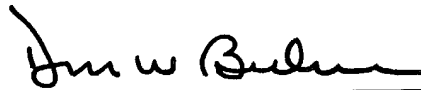
a. ☒ 37 CFR 1.492(a)(1), (2), (3), (4) and (5) (basic national fee)

WARNING: BECAUSE FAILURE TO PAY THE NATIONAL FEE WITHIN 30 MONTHS WITHOUT EXTENSION (37 CFR S 1.495(B)(2)) RESULTS IN ABANDONMENT OF THE APPLICATION, IT WOULD BE BEST TO ALWAYS CHECK THE ABOVE BOX.

b. ☐ 37 CFR 1.492(b), (c) and (d) (presentation of extra claims)

NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 CFR 1.492(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.

Respectfully submitted,



Don W. Bulson, Reg. No. 28,192

Direct all correspondence and telephone calls to:

Don W. Bulson, Esq.
RENNER, OTTO, BOISSELLE & SKLAR, P.L.L.
1621 Euclid Avenue, 19th Floor
Cleveland, Ohio 44115
Tel: 216-621-1113 Fax: 216-621-6165
Internet: dbulson@rennerotto.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

In re National Phase of:

Applicant: Jan Kjellman et al.
PCT Application No.: PCT/SE00/01522
US Application No.: 10/031,838
Title: A LIGHT SOURCE, AND A FIELD EMISSION CATHODE
Attorney Docket No. STOCP0122US

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents
United States Patent and Trademark Office
Washington, DC 20231

Sir:

Please amend the application in accordance with the following appended parts:


- A. Clean Version of Replacement Paragraph/Section/Claim with Instructions for Entry; and
- B. Version with Markings to Show Changes Made.

Remarks

The claims annexed to the International Preliminary Examination Report do not include a claim 31 or a claim 43. The purpose of this amendment is to renumber the claims 32-53 as claims 31-51, respectively.

This application now contains claims 1-51, all of which appear in Appendix A.

Respectfully submitted,



Don W. Bulson, Reg. No. 28,192
RENNER, OTTO, BOISSELLE & SKLAR, LLP
1621 Euclid Avenue - Nineteenth Floor
Cleveland, Ohio 44115
(216) 621-1113

**A. Clean Version of Replacement Paragraph/Section/Claim
with Instructions for Entry**

Please amend the application as follows:

In the Claims:

Claims 1-30 from the Annex to the Preliminary Examination Report, as amended by the Preliminary Amendment filed with the application, read as follows:

1. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass layer (23, 23') which on at least part thereof is coated on the inside with a layer of phosphor (24, 24') forming a luminescent layer, and a conductive layer (25, 25') forming an anode, which layer of phosphor (24, 24') is excited to luminescence by electron bombardment from a field emission cathode (40, 40') located in the interior of the container,

c h a r a c t e r i z e d in that

- the field emission cathode (40, 40') comprises an elongate wire-shaped carrier having a cylindrical surface and a first longitudinal axis,
- at least a portion of said cylindrical surface being provided with conductive surface irregularities in the form of carbon nanotubes, each having a second longitudinal axis being essentially perpendicular to the first longitudinal axis, free ends of said nanotubes forming tips having a radial extension less than about 10 mm.

2. The light source according to claim 1, wherein the cylindrical surface has a diameter in the range of 0,5-5 mm

3. The light source according to claim 1, wherein the elongate carrier is made of a conductive material.

4. The light source according to claim 1, wherein the elongate carrier is made of a semi-conductive material.

5. The light source according to claim 1, wherein the elongate carrier is made of an insulating material.

6. The light source according to claim 1, wherein the container has a cylindrical shape and a diameter in the range 8-80 mm.
7. The light source according to claim 1, wherein the elongate carrier is coaxially arranged in the container.
8. The light source according to claim 1, wherein the elongate carrier is eccentrically arranged in the container.
9. The light source according to claim 1, wherein the elongate carrier has an essentially circular cross section.
10. The light source according to claim 1, wherein the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.
11. The light source according to claim 1, wherein the elongate carrier comprises a wire.
12. The light source according to claim 1, wherein the elongate carrier comprises a rod.
13. The light source according to claim 1, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.
14. The light source according to claim 13, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.
15. The light source according to claim 1, wherein the tips are essentially uniformly distributed around the carrier.
16. The light source according to claim 1, wherein

- the luminescent layer (24) is arranged between the glass layer (23) and the anode (25), and
- the anode (25) is made of a reflective material for reflection of the light emitted from the luminescent layer (24).

17. The light source according to claim 1, wherein

- the anode (25') is arranged between the glass layer (23') and the luminescent layer (24'), and
- the anode (25') is made of a transparent material.

18. The light source according to claim 1, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

19. The light source according to claim 1, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

20. The light source according to claim 1, wherein the container has the shape of a curved tube, curved in e.g. a circular or semicircular curve.

21. A field emission cathode (40), for use in a light source, and to be at least partially encompassed by an anode, and comprising an elongate electrically conductive means, characterized in that

- said elongate electrically conductive means has the form of a cylindrical surface having a first longitudinal axis, and
- at least a portion of said cylindrical surface being provided with conductive surface irregularities in the form of carbon nanotubes, each having a second longitudinal axis being essentially perpendicular to the first longitudinal axis, free ends of said nanotubes forming tips having a radial extension less than about 10 mm.

22. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of a conductive material.

23. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of a semi-conductive material.
24. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of an insulating material.
25. The field emission cathode (40) according to claim 21, wherein the cathode is to be at least partially encompassed by an anode having a cylindrical shape and a diameter in the range 8-80 mm.
26. The field emission cathode (40) according to claim 21, wherein the elongate carrier has an essentially circular cross section.
27. The field emission cathode (40) according to claim 21, wherein the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.
28. The field emission cathode according to claim 21, wherein the elongate carrier comprises a wire.
29. The field emission cathode according to claim 21, wherein the elongate carrier comprises a rod.
30. The field emission cathode according to claim 21, wherein the tips have a radius of curvature being in the range 0,1-100 nanometres.

Please renumber claims 32-53 as claims 31-51:

31. The field emission cathode according to claim 21, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.
32. The field emission cathode according to claim 21, wherein the tips are essentially uniformly distributed around the carrier.

33. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass structure (23") which on at least part thereof is coated on the inside with a layer of phosphor (24") forming a luminescent layer, and a conductive layer (25") forming an anode, which layer of phosphor (24") is excited to luminescence by electron bombardment from a field emission cathode (40") located in the interior of the container,

characterized in that

- the field emission cathode (40") comprises a carrier, at least partly taking the form of a sphere, and
- at least a portion of the surface of said sphere being provided with conductive surface irregularities in the form of carbon nanotubes, each having a longitudinal axis being essentially perpendicular to the surface of the carrier, the free ends of said nanotubes forming tips having a radial extension less than about 10 mm.

34. The light source according to claim 33, wherein said carrier is made of a conductive material.

35. The light source according to claim 33, wherein said carrier is made of a semi-conductive material.

36. The light source according to claim 33, wherein said carrier is made of an insulating material.

37. The light source according to claim 33, wherein the container at least partly takes the form of a sphere having a radius within the range of 1-10 cm.

38. The light source according to claim 33, wherein the carrier is arranged in the center of the container.

39. The light source according to claim 33, wherein the carrier is eccentrically arranged in the container.

40. The light source according to claim 33, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

41. The light source according to claim 33, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

42. The light source according to claim 33, wherein the luminescent layer (24") is arranged between the glass structure (23") and the anode (25"), and the anode (25") is made of a reflective material for reflection of the light emitted from the luminescent layer (24").

43. The light source according to claim 33, wherein the anode is arranged between the glass structure and the luminescent layer, and the anode is made of a transparent material.

44. The light source according to claim 33, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

45. A field emission cathode (40"), for use in a light source, and to be at least partially encompassed by an anode, and comprising further means,

c h a r a c t e r i z e d in that

- said further means includes conductive surface irregularities in the form of carbon nanotubes, each being provided on at least a portion of a carrier including a spherical surface and having a longitudinal axis being essentially perpendicular to the surface of the carrier, and the free ends of said nanotubes forming tips having a radial extension less than about 10 mm.

46. The field emission cathode according to claim 45, wherein said carrier is made of a conductive material.

47. The field emission cathode according to claim 45, wherein said carrier is made of a semi-conductive material.

48. The field emission cathode according to claim 45, wherein said carrier is made of an insulating material.

49. The field emission cathode (40") according to claim 45, wherein the cathode is to be at least partially encompassed by an anode at least partly taking the form of a sphere having a radius within the range of 1-10 cm.

50. The field emission cathode according to claim 45, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

51. The field emission cathode according to claim 45, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

B. Version with Markings to Show Changes Made

Please amend the application as follows:

In the Claims:

Please renumber claims 32-53 as claims 31-51:

[32] 31. The field emission cathode according to claim [31] 21, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.

[33] 32. The field emission cathode according to claim 21, wherein the tips are essentially uniformly distributed around the carrier.

[34] 33. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass structure (23") which on at least part thereof is coated on the inside with a layer of phosphor (24") forming a luminescent layer, and a conductive layer (25") forming an anode, which layer of phosphor (24") is excited to luminescence by electron bombardment from a field emission cathode (40") located in the interior of the container,

characterized in that

- the field emission cathode (40") comprises a carrier, at least partly taking the form of a sphere, and
- at least a portion of the surface of said sphere being provided with conductive surface irregularities in the form of carbon nanotubes, each having a longitudinal axis being essentially perpendicular to the surface of the carrier, the free ends of said nanotubes forming tips having a radial extension less than about 10 mm.

[35] 34. The light source according to claim [34] 33, wherein said carrier is made of a conductive material.

[36] 35. The light source according to claim [34] 33, wherein said carrier is made of a semi-conductive material.

[37] 36. The light source according to claim [34] 33, wherein said carrier is made of an insulating material.

[38] 37. The light source according to claim [34] 33, wherein the container at least partly takes the form of a sphere having a radius within the range of 1-10 cm.

[39] 38. The light source according to claim [34] 33, wherein the carrier is arranged in the center of the container.

[40] 39. The light source according to claim [34] 33, wherein the carrier is eccentrically arranged in the container.

[41] 40. The light source according to claim [34] 33, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

[42] 41. The light source according to claim [34] 33, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

[44] 42. The light source according to claim [34] 33, wherein the luminescent layer (24") is arranged between the glass structure (23") and the anode (25"), and the anode (25") is made of a reflective material for reflection of the light emitted from the luminescent layer (24").

[45] 43. The light source according to claim [34] 33, wherein the anode is arranged between the glass structure and the luminescent layer, and the anode is made of a transparent material.

[46] 44. The light source according to claim [34] 33, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

[47] 45. A field emission cathode (40"), for use in a light source, and to be at least partially encompassed by an anode, and comprising further means,

c h a r a c t e r i z e d in that

- said further means includes conductive surface irregularities in the form of carbon nanotubes, each being provided on at least a portion of a carrier including a spherical surface and having a longitudinal axis being essentially perpendicular to the surface of the carrier, and the free ends of said nanotubes forming tips having a radial extension less than about 10 mm.

[48] 46. The field emission cathode according to claim [47] 45, wherein said carrier is made of a conductive material.

[49] 47. The field emission cathode according to claim [47] 45, wherein said carrier is made of a semi-conductive material.

[50] 48. The field emission cathode according to claim [47] 45, wherein said carrier is made of an insulating material.

[51] 49. The field emission cathode (40") according to claim [47] 45, wherein the cathode is to be at least partially encompassed by an anode at least partly taking the form of a sphere having a radius within the range of 1-10 cm.

[52] 50. The field emission cathode according to claim [47] 45, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

[53] 51. The field emission cathode according to claim [47] 45, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase of:

Applicant: Jan Kjellman et al.
PCT Application No.: PCT/SE00/01522
PCT Filing Date: July 28, 2000
Title: A LIGHT SOURCE, AND A FIELD EMISSION CATHODE
Attorney Docket No. STOCP0122US

PRELIMINARY AMENDMENT DELETING MULTIPLE DEPENDENCIES

Commissioner for Patents
United States Patent and Trademark Office
Washington, DC 20231

Sir:


Please amend the application in accordance with the following appended parts:

- A. Clean Version of Replacement Paragraph/Section/Claim with Instructions for Entry; and
- B. Version with Markings to Show Changes Made.

Remarks

By way of the foregoing, all of the claims have been amended to delete multiple dependencies. In the event there still remains a claim that depends from more than one claim, the Office is hereby authorized to amend such claim to depend from the first mentioned of the multiple claims from which it depends.

Respectfully submitted,



Don W. Bulson, Reg. No. 28,192
RENNER, OTTO, BOISSELLE & SKLAR, LLP
1621 Euclid Avenue - Nineteenth Floor
Cleveland, Ohio 44115
(216) 621-1113

**A. Clean Version of Replacement Paragraph/Section/Claim
with Instructions for Entry**

Please amend the application as follows:

In the Claims:

Please substitute the following claims for the pending claims of corresponding number.

6. The light source according to claim 1, wherein the container has a cylindrical shape and a diameter in the range 8-80 mm.
7. The light source according to claim 1, wherein the elongate carrier is coaxially arranged in the container.
8. The light source according to claim 1, wherein the elongate carrier is eccentrically arranged in the container.
9. The light source according to claim 1, wherein the elongate carrier has an essentially circular cross section.
10. The light source according to claim 1, wherein the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.
11. The light source according to claim 1, wherein the elongate carrier comprises a wire.
12. The light source according to claim 1, wherein the elongate carrier comprises a rod.
13. The light source according to claim 1, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

15. The light source according to claim 1, wherein the tips are essentially uniformly distributed around the carrier.
16. The light source according to claim 1, wherein
 - the luminescent layer (24) is arranged between the glass layer (23) and the anode (25), and
 - the anode (25) is made of a reflective material for reflection of the light emitted from the luminescent layer (24).
17. The light source according to claim 1, wherein
 - the anode (25') is arranged between the glass layer (23') and the luminescent layer (24'), and
 - the anode (25') is made of a transparent material.
18. The light source according to claim 1, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.
19. The light source according to claim 1, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.
20. The light source according to claim 1, wherein the container has the shape of a curved tube, curved in e.g. a circular or semicircular curve.
25. The field emission cathode (40) according to claim 21, wherein the cathode is to be at least partially encompassed by an anode having a cylindrical shape and a diameter in the range 8-80 mm.
26. The field emission cathode (40) according to claim 21, wherein the elongate carrier has an essentially circular cross section.
27. The field emission cathode (40) according to claim 21, wherein the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.

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45. The light source according to claim 34, wherein the anode is arranged between the glass structure and the luminescent layer, and the anode is made of a transparent material.

46. The light source according to claim 34, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

51. The field emission cathode (40") according to claim 47, wherein the cathode is to be at least partially encompassed by an anode at least partly taking the form of a sphere having a radius within the range of 1-10 cm.

52. The field emission cathode according to claim 47, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

53. The field emission cathode according to claim 47, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

B. Version with Markings to Show Changes Made

Please amend the application as follows:

In the Claims:

6. (Amended) The light source according to [any of claims 1-5] claim 1, wherein the container has a cylindrical shape and a diameter in the range 8-80 mm.
7. (Amended) The light source according to [any of claims 1-6] claim 1, wherein the elongate carrier is coaxially arranged in the container.
8. (Amended) The light source according to [any of claims 1-6] claim 1, wherein the elongate carrier is eccentrically arranged in the container.
9. (Amended) The light source according to [any of claims 1-8] claim 1, wherein the elongate carrier has an essentially circular cross section.
10. (Amended) The light source according to [any of claims 1-8] claim 1, wherein the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.
11. (Amended) The light source according to [any of claims 1-10] claim 1, wherein the elongate carrier comprises a wire.
12. (Amended) The light source according to [any of claims 1-10] claim 1, wherein the elongate carrier comprises a rod.
13. (Amended) The light source according to [any of claims 1-12] claim 1, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.
15. (Amended) The light source according to [any of claims 1-14] claim 1, wherein the tips are essentially uniformly distributed around the carrier.

16. (Amended) The light source according to [any of claims 1-15] claim 1, wherein

- the luminescent layer (24) is arranged between the glass layer (23) and the anode (25), and
- the anode (25) is made of a reflective material for reflection of the light emitted from the luminescent layer (24).

17. (Amended) The light source according to [any of claims 1-15] claim 1, wherein

- the anode (25') is arranged between the glass layer (23') and the luminescent layer (24'), and
- the anode (25') is made of a transparent material.

18. (Amended) The light source according to [any of claims 1-15] claim 1, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

19. (Amended) The light source according to [any of claims 1-15] claim 1, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

20. (Amended) The light source according to [any of claims 1-18] claim 1, wherein the container has the shape of a curved tube, curved in e.g. a circular or semicircular curve.

25. (Amended) The field emission cathode (40) according to [any of claims 21-23] claim 21, wherein the cathode is to be at least partially encompassed by an anode having a cylindrical shape and a diameter in the range 8-80 mm.

26. (Amended) The field emission cathode (40) according to [any of claims 21-25] claim 21, wherein the elongate carrier has an essentially circular cross section.

27. (Amended) The field emission cathode (40) according to [any of claims 21-25] claim 21, wherein the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.

28. (Amended) The field emission cathode according to [any of claims 21-27] claim 21, wherein the elongate carrier comprises a wire.
29. (Amended) The field emission cathode according to [any of claims 21-27] claim 21, wherein the elongate carrier comprises a rod.
30. (Amended) The field emission cathode according to [any of claims 21-29] claim 21, wherein the tips have a radius of curvature being in the range 0,1-100 nanometres.
33. (Amended) The field emission cathode according to [any of claims 21-32] claim 21, wherein the tips are essentially uniformly distributed around the carrier.
38. (Amended) The light source according to [any of claims 34-37] claim 34, wherein the container at least partly takes the form of a sphere having a radius within the range of 1-10 cm.
39. (Amended) The light source according to [any of claims 34-38] claim 34, wherein the carrier is arranged in the center of the container.
40. (Amended) The light source according to [any of claims 34-38] claim 34, wherein the carrier is eccentrically arranged in the container.
41. (Amended) The light source according to [any of claims 34-40] claim 34, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.
42. (Amended) The light source according to [any of claims 34-41] claim 34, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.
44. (Amended) The light source according to [any of claims 34-43] claim 34, wherein the luminescent layer (24") is arranged between the glass structure (23") and the anode (25"), and the anode (25") is made of a reflective material for reflection of the light emitted from the luminescent layer (24").

45. (Amended) The light source according to [any of claims 34 -44] claim 34, wherein the anode is arranged between the glass structure and the luminescent layer, and the anode is made of a transparent material.

46. (Amended) The light source according to [any of claims 34-45] claim 34, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

51. (Amended) The field emission cathode (40'') according to[any of claims 47-50] claim 47, wherein the cathode is to be at least partially encompassed by an anode at least partly taking the form of a sphere having a radius within the range of 1-10 cm.

52. (Amended) The field emission cathode according to [any of claims 47-51] claim
47, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

53. (Amended) The field emission cathode according to [any of claims 47-52] claim 47, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

A LIGHT SOURCE, AND A FIELD-EMISSION CATHODE.

5 FIELD OF THE INVENTION

The present invention relates to a light source according to the introductory portion of claim 1, especially a light source for illumination. Further, the present invention relates to a field emission cathode according to the introductory portion of claim 21.

10 BACKGROUND OF THE INVENTION

One common type of light sources is the fluorescent tube. It has many advantages, but suffers from serious drawbacks. For example, there is always a delay after the power has been turned on until it starts to operate giving full light. It needs complicated control equipment, which
15 requires space. To obtain light with a source of this kind it is unfortunately necessary to use materials having negative environmental effects. It is for example a big disadvantage that mercury has to be used in this type of light sources.

Cathodoluminescent light sources is another interesting type of light sources. Such light
20 sources, including an evacuated envelope containing a grid and a heated cathode, for emission of electrons, are known from GB, A, 2 070 849 (The General Electric Company Limited), GB, A, 2 097 181 (The General Electric Company PLC), GB, A, 2 126 006 (The General Electric Company plc) and GB, A, 2 089 561 (The General Electric Company Limited). The insides of the envelopes are covered with a layer of phosphor of an electron-responsive type.
25 These cathodoluminescent lamps have essentially the form of an electric bulb.

Since these light sources all have a heated cathode, the cathode has to be heated by special means, before the emission of light starts.

30 The use of electrons exciting phosphor to luminescence has the effect that more heat is produced than in comparable fluorescent tubes. It is therefore advantageous if the active surface, for the emission of light and for the necessary heat dissipation, is large. The cathodoluminescent lamps shown in the documents mentioned do not have optimal surfaces.

To overcome the drawbacks and problems with the fluorescent tubes and cathodoluminescent light sources, light sources having field emission cathodes were developed.

5 A light source of this kind is disclosed in US, A, 5 588 893 (Kentucky Research and Investment Company Limited). A field emission cathode is arranged inside an evacuated glass container having a luminescent layer arranged on its inner surface. A modulator or extraction electrode is provided between the cathode and the luminescent layer. The cathode includes carbon fibers, arranged in bundles, preferably in a matrix, on a substrate. The content of US, A, 5 588 893 is incorporated herein by reference.

10 However in the last-mentioned known light source, electrons are emitted only in a direction perpendicular to the substrate. Also, there is no indication in the document how to produce the light source in a cost-efficient way.

15 The above mentioned US, A, 5 588 893 (Kentucky Research and Investment Company Limited) also discloses a field emission cathode of the kind mentioned above. The cathode disclosed includes carbon fibres, arranged in bundles, preferably in a matrix, on a substrate. The document also discloses a method including treatment of the emitting surfaces in order to achieve a cathode with higher efficiency than previous cathodes.

20 Further, WO,A1,98/57344 (LightLab AB) and WO,A1,98/57345 (LightLab AB) disclose light sources having cylindrical geometry and employing field emission. In order to obtain the necessary electric field for field emission, the mentioned light sources include grids or modulator electrodes arranged close to the field emitting surfaces of the cathodes. In those
25 light sources a relatively high electric field has to be created between the cathode and the grid, and the distance between the field emitting surfaces and the grid has to be small and uniform in order to achieve a sufficient electric field for field emission and good distribution of electrons emitted from the cathode.

30 A further document, WO, A1, 97/07531 (Silzars et. al.) discloses a lighting apparatus including a field emission cathode. The cathode is built up of one or more fibers. The fibers are very thin, having a diameter less than 100 microns, and preferably less than 10 microns. The diameters are selected in order to achieve field emission at reasonable voltages. A construction according to this document having one fiber will be inoperative if the fiber is
35 broken. Since the fiber is very thin, the probability of that it breaks appears to be high.

However, the probability is probably somewhat lowered by arranging more than one fiber in parallel, for redundancy. Moreover, the electron emission surface is very small due to the small diameter of the fiber(s).

5 Previously known field emission cathodes are often of a complicated and fragile construction, especially as concerns the mountings and the attachment of field emitting bodies.

10 It has been found in connection with cathodes including standard carbon fibers and a grid that the electrical fields acting between the cathode and a grid or an anode can cause individual fibers to get loose from their carrier if they are not safely secured thereto. Once loose, the fibers will, in most cases, be attracted by the grid and cause a short circuit between the cathode and the grid, until it burns off after some time due to the resulting current through the fibres.

15 SUMMARY OF THE INVENTION

It is an object of the invention to provide a light source and a field emission cathode, respectively, providing a concentrated electric field at the field emission surface(s), and by which at least some of the drawbacks above are eliminated or reduced.

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These and other objects are attained by the features set forth in the appended independent claims.

25 By the features in claims 1, 12 and 34, 47, it is achieved a light source, a field emission cathode and an alternative light source and field emission cathode, respectively, having a long life, with high efficiency and stability, which can be produced at low cost.

30 By the features in claims 1, 12 and 34, 47, it is achieved a light source, a field emission cathode and an alternative light source and field emission cathode, respectively, having a sufficient electric field for field emission with good distribution and high emission of electrons from the cathode.

35 By the features in claims 1, 12 and 34, 47, it is achieved a light source, a field emission cathode and an alternative light source and field emission cathode, respectively, in which field emission can be obtained without the use of a grid or extraction electrode.

By the features in claim 1, further, a light source without a starting up period is achieved, i.e. when the power is turned on, the light starts immediately, thanks to the use of a field emission cathode. A light source with no need for materials having negative environmental effects is also achieved.

By the features in claims 1 and 34, further, a light source having a field emitting cathode of simple and robust construction is obtained.

By the features in claim 5, further, a light source having a large active light emitting surface is achieved. This efficient use of the surface renders it possible to achieve a light source having a high light emission in relation to the heat produced.

By the features in claims 21 and 47, further, a field emitting cathode of simple and robust construction is obtained.

By the features in claims 21 through 33 and claims 47 through 54 a field emitting cathode is obtained which further provides for a high emission and uniform distribution of emitted electrons, in particular through a cylindrical surface region surrounding the cathode. A cathode with low interference between the field emitting surfaces is also achieved.

Further features and advantages will be apparent from the dependent claims and the detailed description below.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows schematically a longitudinal section of an embodiment of a light source according to the present invention,

Fig. 2 shows schematically a cross section taken at II-II in Fig. 1,

Fig. 3 shows schematically the cathode and the anode of Figure 2, and

Fig. 4 shows schematically a cross section of an alternative embodiment of a light source according to the present invention.

5 Fig. 5 shows schematically a cross section of a further alternative embodiment of a light source according to the present invention,

Fig. 6 shows schematically a cross section of a yet further alternative embodiment of a light source according to the present invention,

10 Fig. 7 shows schematically a possible shape of a light source according to the present invention,

Fig. 8 shows schematically a longitudinal section of another alternative embodiment of a light source according to the present invention,

15 Fig. 9 shows schematically the cathode and the anode as disclosed in Fig. 8,

Fig. 10 shows schematically a longitudinal section of yet another alternative embodiment of a light source according to the present invention, and

20 Fig. 11 shows schematically a longitudinal section of a further alternative embodiment of a light source according to the present invention.

25 DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring to figure 1, there is shown, in a schematic longitudinal section, an embodiment of a light source according to the present invention, identified generally by the numeral 10, and especially intended for illumination purposes. It includes a container having walls, one of which is identified by the numeral 20. This wall 20 has an outer glass layer and is shown to be cylindrical. The cylinder 20 has an end 21, which is covered by an end cap 60. A sealing (not shown) is provided between the end cap and the cylinder 20, in order to achieve an airtight sealing of the container. At the other end 22 of the cylinder 20 an end cap 61 is provided, similar to the one arranged at the end 21, also provided with a sealing. Alternatively, at the end 22 there can be arranged a circular wall as a continuation of the cylinder wall 20, also

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having an outer layer of glass. The container is sealed in order to maintain the vacuum (approximately 10^{-6} torr) created when the container is evacuated.

5 Inside the container and preferably coaxially therewith, a cathode 40 is arranged. This cathode is a cold cathode, especially a field emission cathode. Its construction and function will be explained further below.

10 The light source is provided with electrical connections 51, 52, and means (not shown) for fastening of the cathode 40. The cathode 40 can be soldered to the caps 60, 61 or it can be adhered to the caps 60, 61 by an adhesive, preferably an electrically conducting adhesive. It could also be clamped to the caps 60, 61 by clamping means or gripped by gripping means. It is also possible that a circular wall, which is a continuation of the cylinder wall 20, is provided with supporting, fastening or gripping means.

15 The cylindrical part 20 of the container walls surrounding the cathode 40 consists of an outer glass layer 23, a phosphor layer 24 (a cathodoluminescent phosphor) and an inner conductive layer 25 forming an anode. The phosphor layer is a luminescent layer, which upon electron bombardment emits visible light. The anode is preferably made of a reflecting, electrically conductive material, e.g. aluminum. By arranging an aluminum layer covering the phosphor
20 layer, adverse effects on the vacuum by possible evaporation of the phosphor are avoided.

The electrical connection means 51, 52 connect the cathode 40 and the anode 25, respectively, to a feed and control circuit (not shown). Those connection means preferably include conductive terminal pins which extend through the cap 60 and are insulated from each other.
25 The electrical connection means 52 could further include conductive fingers or similar, which are in contact with the anode layer 25 provided inside the container. The openings for the electrical connection means 51, 52 in the end cap 60 are airtight sealed. At the other end 22 of the container wall 20, there can be arranged an end cap 61 similar to the end cap 60, to support the cathode 40. However, this end cap 61, at the other end 22, could be formed
30 without electrical connection means.

The cathode 40 includes a relatively thin wire or rod, of electrically conductive material, e.g. a nickel wire. The wire or rod preferably has a circular cross section and its diameter is in the millimeter range, about one to a few mm, e.g. 0.5-5mm or 1.5-2mm. This provides for a

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For further explanation and discussion of nanotubes it is referred to the articles "Field emission from carbon nanotubes: a comparative study" by J M Bonard, J P Salvetat, T Stöckli, L Forró, A Châtelain, Proceedings of the 193rd ECS sumposium, 1998, and "Field emission properties of multiwalled carbon nanotubes" by J M Bonard, F Maier, T Stöckli, A Châtelain, W A de Heer, J P Salvetat, L Forró, Ultramicroscopy 73 (1998) 7-15, which articles are incorporated herein by reference.

The irregularities are formed by carbon nanotubes applied on the (cylindrical) surface of the wire or rod included in the cathode. The nanotubes have a very short length, less than about 10 μm , and do not affect the variable r in the formula since the diameter of the wire or rod of the cathode is selected in the mm range, about one to a few mm, e.g. 0.5-5 mm or 1.5-2 mm. The tips of the nanotubes have a radius of curvature being in the range 0.1-100 nanometers.

The applied carbon nanotubes can be of different types, e.g. single wall nanotubes or open or closed multi wall nanotubes. In this case catalytically deposited multi wall nanotubes deposited in the form of a film are suitable and can be applied by a simple process. Such nanotubes are suitable for depositing on a wire and they will be appropriately oriented by the process, with their respective longitudinal axis being essentially perpendicular to the longitudinal axis of the wire. Further, application of nanotubes by a catalytic or alternatively CVD process results in good uniformity and low manufacturing cost. Recent laboratory measurements confirm that the amplification factor is about 1000 in catalytically deposited nanotube films and that currents up to 10 mA/cm^2 are obtained.

When the field strength is sufficient to cause field emission of electrons from the field emitting surfaces (tips) of the field emitting material (nanotubes) of the cathode 40, the electrons will accelerate and travel towards the anode 25. Due to the high kinetic energy of the electrons and the fact that the anode layer is relatively thin (less than 0.1 micron), the electrons will pass through the anode so as to enter the phosphor layer while still having sufficient kinetic energy to excite the phosphor to luminescence, whereby visible light is emitted. The electrons will then return to the anode to be drained off. The electron bombardment will cause, besides light, heating of the cylinder wall 20. The glass layer will provide for the dissipation of the heat. The voltage is in the range of kV, typically about 4-8 kV. The voltage much depends on the type of phosphor used. New types of phosphor are continuously developed and because of that, the voltage must be adapted to the specific type

Figure 4 shows an alternative embodiment of a light source, according to the invention, in cross section. What differs from fig. 2 is the arrangement of the layers of the wall 20'. It includes an outer glass layer 23', which is covered, on at least a major part of its inside, by an electrically conductive transparent material forming the anode 25'. The anode 25' then carries the phosphor layer 24' on the inside. The anode is made from e.g. ITO (indium tin oxide). To

establish direct electrical contact with the anode 25', conductive fingers can be arranged as mentioned above and some regions of the anode 25' are therefore not covered with phosphor. Alternatively, electrically conductive surfaces being in contact with the anode can be applied on to the phosphor layer. Those surfaces are small not to interfere with the operation of the light source but of sufficient size to establish electrical contact with the conductive fingers.

The operation of this embodiment illustrated in figure 4 is essentially the same as that of the embodiment illustrated in figure 2. However, after leaving the cathode 40, the electrons will first hit the phosphor layer and excite it to luminescence, and thereafter they will be drained off by the anode. Since the electrons first hit the phosphor layer and do not have to pass through the anode layer before they hit the phosphor layer, the voltage applied between the cathode and the anode can be about 1-2 kV lower than in the embodiment illustrated in figure 2.

In the previous embodiments, the cathode 40 has been shown to be arranged concentrically with the container wall 20. However, it can be non-concentrically arranged as shown in figure 5. Here the center of the cathode 40 is located at a distance d from the center 26 of the cylindrical container wall 20. By this arrangement, the electric field will be increased at portions of the container and decreased in other portions. Hereby a possibility to control the light intensity is obtained, so that increased light intensities can be achieved in certain directions. However, the electric field around the cathode, the extraction field, will not be substantially changed due to the non-concentricity for moderate distances d . If the inner diameter of the cylinder wall 20 is 20 mm and the outer diameter of the cathode is 2 mm, a distance d of 5 mm will cause higher current densities at the portions of the cylinder wall closest to the cathode 40, but the electric field around the cathode will still be sufficient for field emission around the cathode 40. For small distances d (e.g. around 0,1 mm) the effects are almost none. This means that exact concentricity is not necessary for obtaining homogenous light emission.

In figure 6, a further embodiment of the invention is shown, where the cathode 40, i.e. the carrier (wire or rod) of the surface irregularities (the nanotubes), have a non-circular cross section. The cross section shown is elliptical, but could be any, having a smooth curve, i.e. not exhibiting any sharp corners. In this case the electric field, the current densities and the light intensities can be controlled in a similar manner as in the previous embodiment of figure 5.

In earlier embodiments the container has been shown to be a straight cylinder. However other shapes are possible. In figure 7 a container having the shape of a bent tube, is shown. The tube can be bent in a circular form or semi-circular, as shown.

5 Since nanotubes are conductive the core or carrier (the wire or rod) of the cathode 40 does not have to be conductive. It can be made of a semi-conductive or an isolating material. In such a case the nanotubes are applied in a continuous layer, and electrical connections are provided to this layer. This is valid for all previous embodiments.

10 In the alternative arrangement disclosed in figure 8 the wall 20" has an outer glass structure and is shown to be spherical. The sphere 20" has an end 21" which is covered by an end cap 60". A sealing (not shown) is provided between the end cap and the sphere 20", in order to achieve an airtight sealing of the container. Also here the container is sealed in order to maintain the vacuum (approximately 10^{-6} torr) created when the container is evacuated.

15 Inside the container and preferably centrically therewith, a cathode 40" is arranged. The cathode 40" includes a relatively small sphere of electrically conductive, electrically semi-conductive or insulating material, e.g. of nickel. The radius thereof is in the millimeter range, about one to ten mm. This provides for a strong and durable cathode, exhibiting a surface
20 sufficient for a high emission of electrons. Also in this case the cathode is a cold cathode, especially a filed emission cathode. Its construction and function will be explained further below.

The light source is provided with electrical connections 51", 52", and means 70 for supporting
25 of the cathode 40". Said means 70 takes the form of a thin conducting rod 70 extending to the end cap 60". The rod 70 could be clamped to the cap 60" by clamping means or gripped by gripping means.

The spherical part 20" of the container walls surrounding the cathode 40" consists of an outer
30 glass structure 23", a phosphor layer 24" (a cathodoluminescent phosphor) and an inner conductive layer 25" forming an anode. The phosphor layer is a luminescent layer, which upon electron bombardment emits visible light. The anode is preferably made of a reflecting, electrically conductive material, e.g. aluminum. By arranging an aluminum layer covering the phosphor layer, adverse effects on the vacuum by possible evaporation of the phosphor are
35 avoided.

The electrical connection means 51", 52" connect the cathode 40" and the anode 25", respectively, to a feed circuit (not shown). Those connection means preferably include conductive terminal pins which extend through the cap 60" and are insulated from each other.

5 The electrical connection means 52" could further include conductive fingers or similar, which are in contact with the anode layer 25" provided inside the container. The openings for the electrical connection means 51", 52" in the end cap 60" are airtight sealed.

In order to specify an example the case is taken where the outside sphere has a radius of about

10 30 mm, which is similar to a standard incandescent bulb: If the inner radius is chosen to be 2,5 mm, the electric field strength at the surface of the inner sphere will be 3500 V/mm for an applied voltage of 8000 volts. It is easy to draw a current of say 5 mA from the nanotube layer on the surface of the inner sphere ($0,8 \text{ cm}^2$), which at the phosphor layer on the surface of the outer sphere (110 cm^2) will give a current density of about 45 microamps/ cm^2 .

15 The above calculations are given as examples for a perfect spherical symmetry. In reality one must of course take into consideration the fact that the central sphere is held in place by a thin conducting rod and that the outer sphere has an extension to the socket (compare Figs. 8, 10 and 11).

20 Additionally, one can also consider cases where not the whole surface of the inner sphere is covered with phosphor, as is disclosed in the embodiment of Fig. 10.

25 Furthermore it is also possible to move the inner sphere to a non-central position in the outer sphere in order to increase the light intensity in certain directions. This follows from the embodiment disclosed in Fig. 11.

30 According to an alternative arrangement, not disclosed on the drawing, the glass sphere could be covered, on at least a major part of its inside, by an electrically conductive transparent material forming the anode. The anode then carries a phosphor layer on the inside. The anode is made from e.g. indium-tin-oxide or indium oxide. To establish direct electrical contact with the anode conductive fingers can be arranged as mentioned above and some regions of the anode are therefore not covered with phosphor. Alternatively, electrically conductive surfaces being in contact with the anode can be applied on to the phosphor layer. Those surfaces are

Although the embodiments include certain details for the electrical connection and for the support of the different parts in the light source, it should be noted that they can be formed in many other ways, as should also be understood by a person skilled in the art, and that they do not limit the scope of invention.

CLAIMS

1. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass layer (23, 23') which on at least part thereof is coated on the inside with a layer of phosphor (24, 24') forming a luminescent layer, and a conductive layer (25, 25') forming an anode, which layer of phosphor (24, 24') is excited to luminescence by electron bombardment from a field emission cathode (40, 40') located in the interior of the container,

characterized in that

the field emission cathode (40, 40') comprises an elongate wire-shaped carrier having a cylindrical surface and a first longitudinal axis,

at least a portion of said cylindrical surface being provided with conductive surface irregularities in the form of carbon nanotubes, each having a second longitudinal axis being essentially perpendicular to the first longitudinal axis, free ends of said nanotubes forming tips having a radial extension less than about 10 μm .

2. The light source according to claim 1, wherein the cylindrical surface has a diameter in the range of 0,5-5 mm

3. The light source according to claim 1, wherein the elongate carrier is made of a conductive material.

4. The light source according to claim 1, wherein the elongate carrier is made of a semi-conductive material.

5. The light source according to claim 1, wherein the elongate carrier is made of an insulating material.

6. The light source according to any of claims 1-5, wherein the container has a cylindrical shape and a diameter in the range 8-80 mm.

7. The light source according to any of claims 1-6, wherein the elongate carrier is coaxially arranged in the container.

8. The light source according to any of claims 1-6, wherein the elongate carrier is eccentrically arranged in the container.

5 9. The light source according to any of claims 1-8, wherein the elongate carrier has an essentially circular cross section.

10. The light source according to any of claims 1-8, wherein the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.

10 11. The light source according to any of claims 1-10, wherein the elongate carrier comprises a wire.

12. The light source according to any of claims 1-10, wherein the elongate carrier comprises a rod.

15 13. The light source according to any of claims 1-12, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

20 14. The light source according to claim 13, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.

15. The light source according to any of claims 1-14, wherein the tips are essentially uniformly distributed around the carrier.

25 16. The light source according to any of claims 1-15, wherein
the luminescent layer (24) is arranged between the glass layer (23) and the anode (25), and
the anode (25) is made of a reflective material for reflection of the light emitted from the luminescent layer (24).

30 17. The light source according to any of claims 1-15, wherein
the anode (25') is arranged between the glass layer (23') and the luminescent layer (24'), and
the anode (25') is made of a transparent material.

18. The light source according to any of claims 1-15, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

19. The light source according to any of claims 1-15, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

20. The light source according to any of claims 1-18, wherein the container has the shape of a curved tube, curved in e.g. a circular or semicircular curve.

21. A field emission cathode (40), for use in a light source, and to be at least partially encompassed by an anode, and comprising an elongate electrically conductive means, characterized in that

said elongate electrically conductive means has the form of a cylindrical surface having a first longitudinal axis, and

at least a portion of said cylindrical surface being provided with conductive surface irregularities in the form of carbon nanotubes, each having a second longitudinal axis being essentially perpendicular to the first longitudinal axis, free ends of said nanotubes forming tips having a radial extension less than about 10 μm .

22. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of a conductive material.

23. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of a semi-conductive material.

24. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of an insulating material.

25. The field emission cathode (40) according to any of claims 21-23, wherein the cathode is to be at least partially encompassed by an anode having a cylindrical shape and a diameter in the range 8-80 mm.

26. The field emission cathode (40) according to any of claims 21-25, wherein the elongate carrier has an essentially circular cross section.

27. The field emission cathode (40) according to any of claims 21-25, wherein the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.

28. The field emission cathode according to any of claims 21-27, wherein the elongate carrier comprises a wire.

29. The field emission cathode according to any of claims 21-27, wherein the elongate carrier comprises a rod.

30. The field emission cathode according to any of claims 21-29, wherein the tips have a radius of curvature being in the range 0,1-100 nanometres.

31. The field emission cathode according to claim 31, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.

32. The field emission cathode according to any of claims 21-32, wherein the tips are essentially uniformly distributed around the carrier.

33. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass structure (23") which on at least part thereof is coated on the inside with a layer of phosphor (24") forming a luminescent layer, and a conductive layer (25") forming an anode, which layer of phosphor (24") is excited to luminescence by electron bombardment from a field emission cathode (40") located in the interior of the container, characterized in that

the field emission cathode (40") comprises a carrier, at least partly taking the form of a sphere, and

at least a portion of the surface of said sphere being provided with conductive surface irregularities in the form of carbon nanotubes, each having a longitudinal axis being essentially perpendicular to the surface of the carrier, the free ends of said nanotubes forming tips having a radial extension less than about 10 μm .

34. The light source according to claim 34, wherein said carrier is made of a conductive material.

- 35 36. The light source according to claim 34, wherein said carrier is made of a semi-conductive material.
- 5 37. The light source according to claim 34, wherein said carrier is made of an insulating material.
- 37 38. The light source according to any of claims 34-37, wherein the container at least partly takes the form of a sphere having a radius within the range of 1-10 cm.
- 10 39. The light source according to any of claims 34-38, wherein the carrier is arranged in the center of the container.
- 39 40. The light source according to any of claims 34-38, wherein the carrier is eccentrically
15 arranged in the container.
- 40 41. The light source according to any of claims 34-40, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.
- 20 42. The light source according to any of claims 34-41, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.
- 42 44. The light source according to any of claims 34-43, wherein the luminescent layer (24")
25 is arranged between the glass structure (23") and the anode (25"), and the anode (25") is made of a reflective material for reflection of the light emitted from the luminescent layer (24").
- 43 45. The light source according to any of claims 34 -44, wherein the anode is arranged
30 between the glass structure and the luminescent layer, and the anode is made of a transparent material.
- 44 46. The light source according to any of claims 34-45, wherein the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

47. A field emission cathode (40"), for use in a light source, and to be at least partially encompassed by an anode, and comprising further means,

characterized in that

said further means includes conductive surface irregularities in the form of carbon nanotubes, each being provided on at least a portion of a carrier including a spherical surface and having a longitudinal axis being essentially perpendicular to the surface of the carrier, and the free ends of said nanotubes forming tips having a radial extension less than about 10 μm .

48. The field emission cathode according to claim 47, wherein said carrier is made of a conductive material.

49. The field emission cathode according to claim 47, wherein said carrier is made of a semi-conductive material.

50. The field emission cathode according to claim 47, wherein said carrier is made of an insulating material.

51. The field emission cathode (40") according to any of claims 47-50, wherein the cathode is to be at least partially encompassed by an anode at least partly taking the form of a sphere having a radius within the range of 1-10 cm.

52. The field emission cathode according to any of claims 47-51, wherein the tips have a radius of curvature being in the range 0,1-100 nanometers.

53. The field emission cathode according to any of claims 47-52, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

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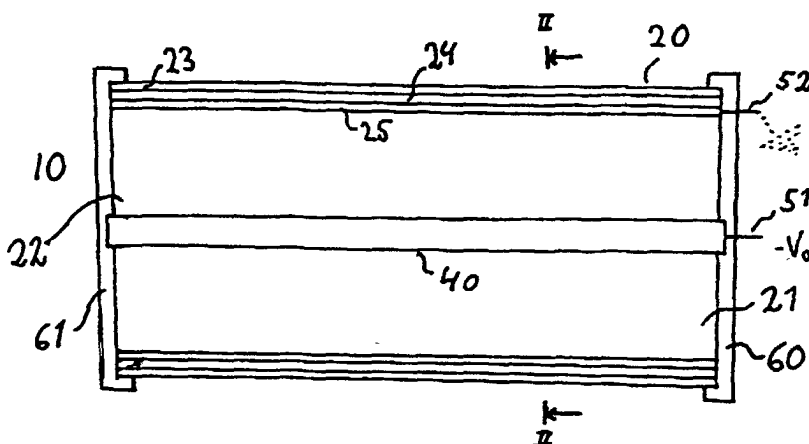
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- (71) Applicant (for all designated States except US): **NANO-LIGHT INTERNATIONAL LTD [CH/CH]**; Ch. de Bassy 19, CH-1247 Anières (CH).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **KJELLMAN, Jan** [SE/CH]; Ch. de Bassy 19, CH-1247 Anières (CH). **LIND-MARK, Magnus** [SE/SE]; Östermalmsgatan 95, S-114 59 Stockholm (SE).
- (74) Agents: **BERNHULT, Lennart et al.**; AB Stockholms Patentbyrå, Zacco & Bruhn, P.O. Box 23101, S-104 35 Stockholm (SE).
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(54) Title: A LIGHT SOURCE, AND A FIELD EMISSION CATHODE



(57) Abstract: The light source, comprises an evacuated container having walls, including an outer glass layer (23) which on at least part thereof is coated on the inside with a layer of phosphor (24) forming a luminescent layer and a conductive layer (25) forming an anode. The phosphor (24) is excited to luminescence by electron bombardment from a field emission cathode (40) located in the interior of the container. The field emission cathode (40) comprises a carrier having a diameter in the mm range. At least a portion of the surface of the carrier is provided with a conductive layer having surface irregularities in the form of tips, having a radial extension being less than about 10 μm . Due to the geometry and the tips, the electric field is concentrated and amplified at the field emission surface.

WO 01/09914 A1

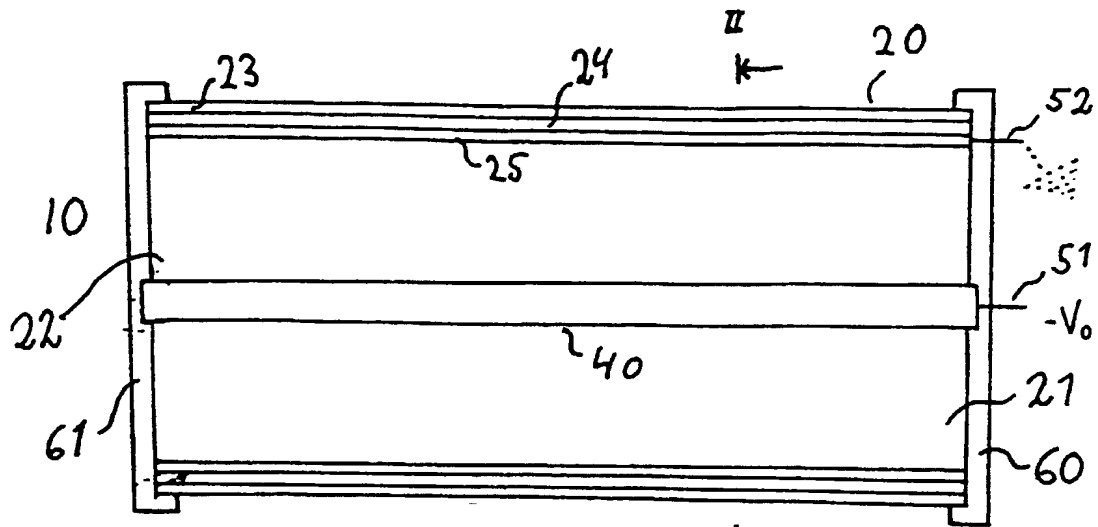


Fig. 1

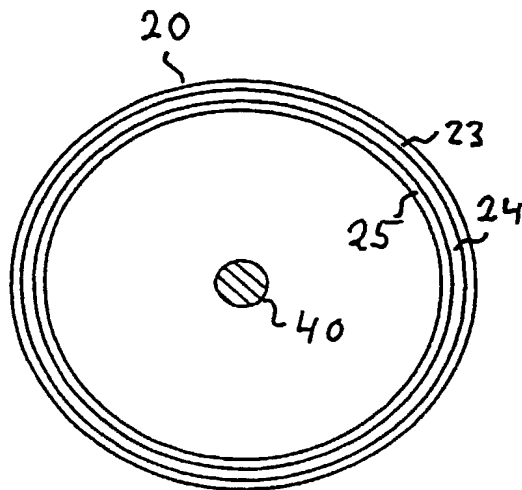


Fig. 2

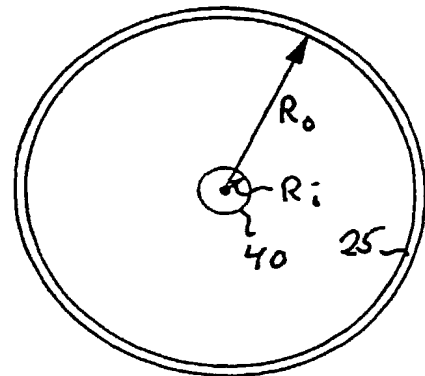


Fig. 3

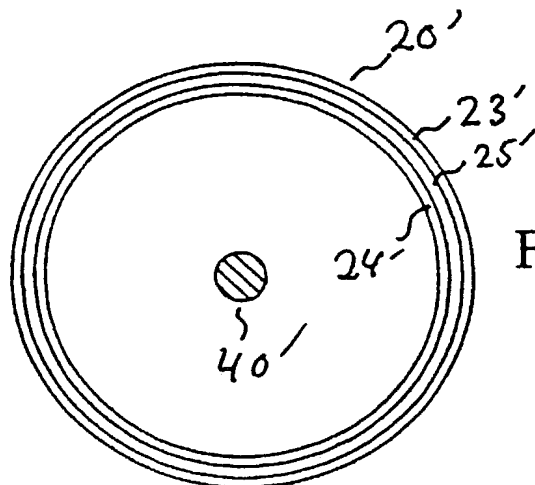


Fig. 4

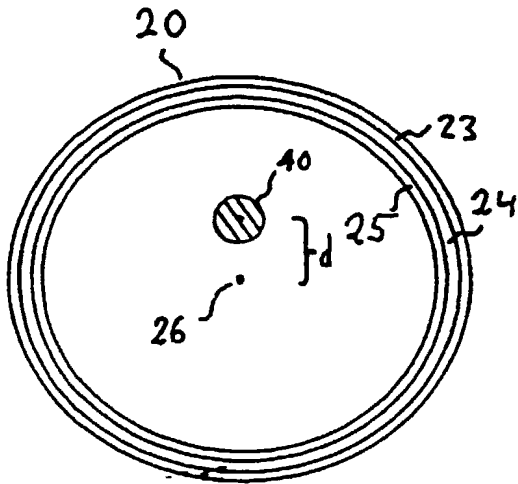


Fig. 5

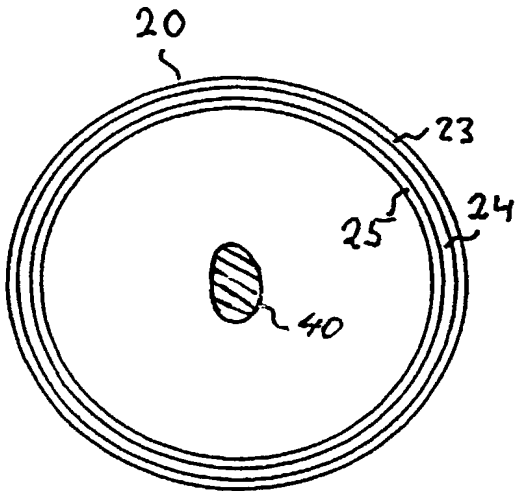


Fig. 6

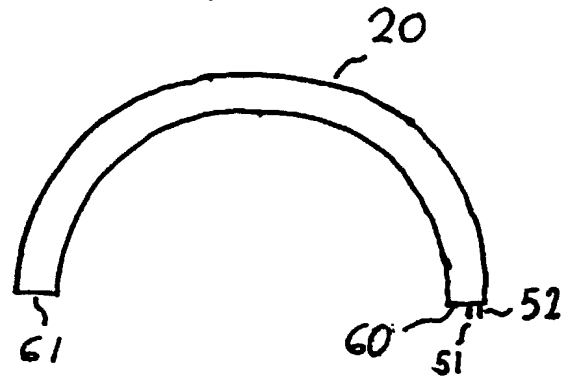


Fig. 7

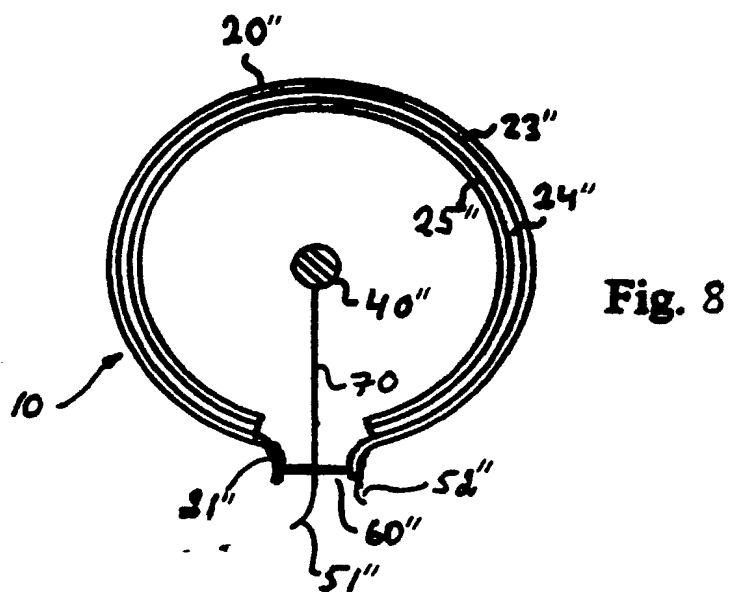


Fig. 8

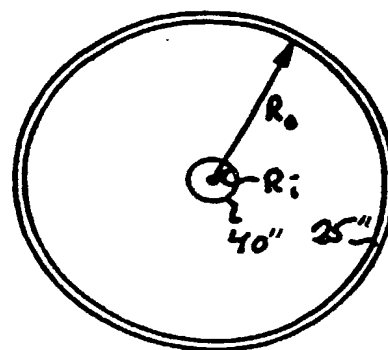


Fig. 9

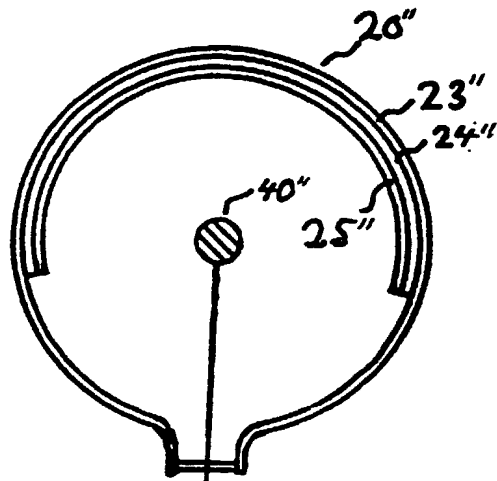


Fig. 10

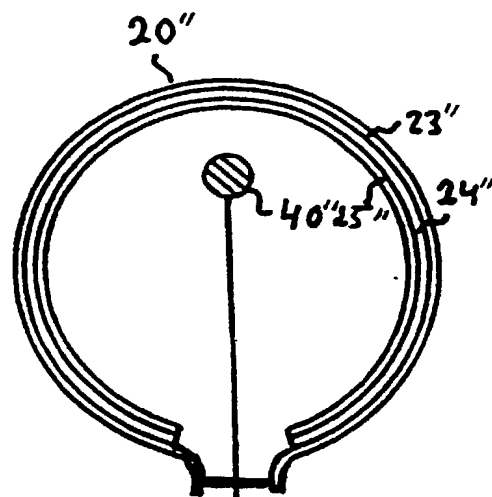


Fig. 11

Attorney Docket No. STOCP0122US

PATENT (OUS)

COMBINED DECLARATION AND POWER OF ATTORNEY
(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT)

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Title: A LIGHT SOURCE, AND A FIELD EMISSION CATHODE

the specification of which

☐ is attached hereto, or

☒ was filed as United States Application or
PCT International Application (give
Express Mail label number and deposit
date if Application number not yet known):

Application No.: PCT/SE00/01522
(Express Mail Label No.)
Filing Date: July 28, 2000
(Deposit Date)
Amended on (if applicable):

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56(a).

PRIORITY CLAIM

I hereby claim priority benefits under Title 35, United States Code, §119 of (i) any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed; and (ii) any United States provisional application(s) that is/are listed below.

☐ no such applications have been filed.

☒ such applications have been filed as follows.

**EARLIEST FOREIGN/PROVISIONAL APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
			Yes	No
SE	9902823-5	30 July 1999	X	
SE	9903226-0	10 September 1999	X	
SE	9903662-6	12 October 1999	X	

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

RENNER, OTTO, BOISSELLE & SKLAR

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Armand P. Boisselle, Reg. No. 22,381; Warren A. Sklar, Reg. No. 26,373; Don W. Bulson, Reg. No. 28,192 (3)

The undersigned to this declaration and power of attorney hereby authorizes the U.S. attorney(s) named herein to accept and follow instructions from

Authorized representative: Stockholms Patentbyrå Zacco AB, P.O. Box 23101, SE-104 35 Stockholm, Sweden

as to any actions to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney(s) and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorney(s) will be so notified by the undersigned.

Send Correspondence To


Don W. Bulson, Esq.
Renner, Otto, Boisselle & Sklar, P.L.L.
1621 Euclid Ave., 19th Floor
Cleveland, Ohio 44115

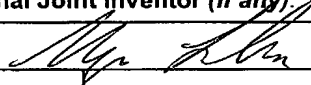
Direct Telephone Calls To:

(name and telephone number)

Don W. Bulson
(216) 621-1113

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor:		<u>Jan Kjellman</u>	
Inventor's signature:		Date:	<u>January 28, 2002</u>
Residence: (City & State/Country):	Same as Post Office address	Citizenship:	SE
Post Office Address:	Ch. De Bassy 19 CH-1247 Anières, Switzerland CHX		

Full Name of Additional Joint Inventor (if any):		<u>Magnus Lindmark</u>	
Inventor's signature:		Date:	<u>4.2.2002</u>
Residence: (City & State/Country):	Same as Post Office address	Citizenship:	SE
Post Office Address:	Östermalmsgatan 95 SE-114 59 Stockholm, Sweden SFX		

CHECK FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH FORM A PART OF THIS DECLARATION

- [] Signature for additional joint inventors.
 [] Added page to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (CIP) application.
 [X] This declaration ends with this page.